## AP3 Rec'd PCT/PTO 12 MAY 2006

FORM (REV.				ATTORNEY'S DOCKET NUMBER						
		ANSMITTAL LETTER TO T	U.S. APPLICATION NO. (If known, see 37 CFR 1.5)							
		DESIGNATED/ELECTED OF		10/551,130						
		ONCERNING A FILING UN	INTERNATIONAL FILING DATE							
		FIONAL APPLICATION NO. 4/004764	PRIORITY DATE CLAIMED April 2, 2003							
TITLE OF INVENTION COMPOSITION FOR FORMING UNDERLAYER COATING FOR LITHOGRAPHY CONTAINING EPOXY COMPOUND AND CARBOXYLIC ACID COMPOUND										
APPLICANT(S) FOR DO/EO/US Takahiro KISHIOKA										
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:										
1.		☐ This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.								
2.	$\boxtimes$	This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.								
3.		This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.								
4.		The US has been elected (Article 31).								
5.		A copy of the International Application as filed (35 U.S.C. 371(c)(2))								
		a.  is attached hereto (required only if not communicated by the International Bureau).								
		b. ☐ has been communicated by the International Bureau.								
		c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).								
6.		An English language translation of the International Application as filed (35 U.S.C. 371(c)(2))								
		a. ☐ is attached hereto.								
		b.  has been previously submitted under 35 U.S.C. 154(d)(4).								
		c.	n was filed in English.							
7.		Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))								
		a.   are attached hereto (required only if not communicated by the International Bureau).								
		b.  have been communicated by the International Bureau.								
		c.  have not been made; however, the time limit for making such amendments has NOT expired.								
		d.  have not been made and will not be made.								
8.		An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).								
9.		An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).								
10.		An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).								
Items	s 11 t	o 20 below concern document(s)	or information included:							
11.		An Information Disclosure Stateme	ent under 37 CFR 1.97 and 1.98.							
12.		An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.								
13.		A preliminary amendment.								
14.		An Application Data Sheet under 37 CFR 1.76.								
15.		A substitute specification.								
16.		A power of attorney and/or change	of address letter.							
17.		A computer-readable form of the se	equence listing in accordance with	PCT Rule 13 <i>ter</i> .2 and 37 CFR 1.821 - 1.825.						
18.		A second copy of the published international application under 35 U.S.C. 154(d)(4).								
19.		A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).								
20.	$\boxtimes$	Notification of Acceptance and Filing Receipt Status Request.								

U.S. APPLICATION NO. (if known, s 10/551,130	see 37 C.F.R. 1.5)	ATTORNEY'S DOCKET NUMBER 125473								
21.  The following fees	are submitted:	PCT/JP04/004764		CALCULATIONS	PTO USE ONLY					
21	ale submittee.	OALOGEA.IOIG	110 002 5.12.							
BASIC NATIONAL FEE (37			\$ 300.00	\$						
SEARCH FEE (37 CFR 1.49	92(b)(1)-(3)):			\$						
International preliminary exa the USPTO as IPEA or ISA industrial applicability for all national stage	and favorable as to claims presented in									
International search fee (37	CFR 1.445(a)(2)) pa									
International search report p the search fee is paid	provided to USPTO I									
All situations not provided for										
<b>EXAMINATION FEE (37 CF</b>			***************************************	\$						
International preliminary examination report or written opinion prepared by the USPTO as IPEA or ISA and favorable as to novelty, inventive step, and industrial applicability for all claims presented in the application entering the national stage										
Surcharge of \$130.00 for fur	rnishing the search f	fee, the examination fe	e or the oath or	\$						
declaration after the date of	commencement of t	the national stage (37 (	CFR 1.492(h)).		<b> </b>					
APPLICATION SIZE FEE Total pages - 100 =	÷ 50	= †	x 250 =	\$						
†round up to next integer					·					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$						
TOTAL CLAIMS	- 20	=	x 50.00 =	\$						
INDEPENDENT CLAIMS	- 3		x 200.00 =	\$						
MULTIPLE DEPENDENT CI		e) TOTAL OF ABOVE (	+ 360.00 =	\$						
☐ Applicant claims small e				\$						
reduced by ½.		011(1.27, 1110 1000	<u>-</u>							
5	Contraction than Fina	**	SUBTOTAL =	\$	-					
Processing fee of \$130.00 for the earliest claimed priority of	\$   \$									
Fee for recording the enclos	ed assignment (37 (		NATIONAL FEE =	\$						
accompanied by an appropri	iate cover sheet (37	CFR 3.28, 3.31). <b>\$40</b>	.00 per property +							
-		TOTAL FE	EES ENCLOSED =	\$						
				Amount to be refunded:	\$					
•				charged:	s					
a.	in the amount of \$	to cover the abo	ove fees is enclosed.	<u> </u>	1. 4					
b. Please charge m	b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this									
c.   The Commission										
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.										
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO: OLIFF & BERRIDGE, PLC										
Customer Number:	: 25944		NAME: Jame REGISTRATIO	es A. Oliff ON NUMBER: 27,0	75					
Date <u>May 12, 2006</u>		NAME: Linda REGISTRATIO	M. Saltiel ON NUMBER: 51,1	22						

## PATENT APPLICATION

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Takahiro KISHIOKA

ATTN: Mail Stop PCT

Application No.: 10/551,130

Docket No.: 125473

Filed: September 29, 2005

For:

COMPOSITION FOR FORMING UNDERLAYER COATING FOR

LITHOGRAPHY CONTAINING EPOXY COMPOUND AND CARBOXYLIC

**ACID COMPOUND** 

## NOTIFICATION OF ACCEPTANCE AND FILING RECEIPT STATUS REQUEST

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The above-captioned patent application entered the National Phase on September 28, 2005. The 35 U.S.C. 371 requirements were completed on September 28, 2005.

The original Notification of Acceptance and Filing Receipt have not yet been received. It is respectfully requested that the original Notification of Acceptance and Filing Receipt be immediately forwarded to the attorneys of record at the address set forth below.

If there are any questions regarding this matter, please contact the undersigned at the telephone number set forth below.

Respectfully submitted

Registration No. 27,075

Linda M. Saltiel

Registration No. 51,122

JAO:LMS/jdb

Date: May 12, 2006

OLIFF & BERRIDGE, PLC P.O. Box 19928

Alexandria, Virginia 22320 Telephone: (703) 836-6400